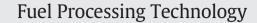
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Effect of environment around the active center Cu⁺ species on the catalytic activity of CuY zeolites in dimethyl carbonate synthesis: A theoretical study

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ABSTRACT

A density functional theory method has been used to investigate the effect of environment around the active center Cu^+ species on the catalytic activity in the oxidative carbonylation of methanol to dimethyl carbonate over CuY zeolites. Based on the configuration of Cu^+ located in the supercage, Cu^+ or Cs^+ species at the sites adjacent to the active center Cu^+ species in the supercage are considered as the surrounding environment. The results indicate that the presence of Cu^+ in the supercage adjacent to the active center improves the adsorption energy of co-adsorbed CO and elongates the $Cu - OCH_3$ bond in co-adsorbed CO/CH_3O system, stabilizes the transition state for the reaction of CO insertion, and ultimately makes the active center Cu^+ species exhibit better catalytic activity. Whereas, Cu^+ species at adjacent site in the smallcage plays an opposite role. More importantly, introducing Cs species into the supercage of CuY zeolite significantly improves the adsorption energy of co-adsorbed CO and the stability of transition state configuration for CO insertion reaction, thus, leads to the best catalytic performance among four types of catalysts, which is consistent with the previous experimental results. © 2014 Elsevier B.V. All rights reserved.

1. Introduction

Dimethyl carbonate (DMC) is a good oxygenated fuel additive of gasoline or diesel oil to replace methyl tert-butyl ether (MTBE) [1–5]. Adding DMC to fuels can significantly increase the octane number due to its high oxygen content (53.3 wt.%), and also obviously improve the vaporization of diesel fuel by reducing their surface tension. Another obvious advantage of DMC as fuel additives is that it slowly decomposes to form CO and methanol, which have no serious impact when released into the environment [1]. This and other applications led to an enormous effort in the investigation of low-cost and nontoxic synthesis of DMC.

Among all the reactions to DMC, the oxidation carbonylation of methanol to DMC has received great attention due mostly to its beneficial thermodynamics and atom utilization rate of 80% [6–8]. At present, the main catalysts for the DMC synthesis include the supported copper chloride (CuCl₂) catalyst, Wacker catalyst and Cu-exchanged zeolite catalyst [1]. However, for the supported CuCl₂ catalyst and Wacker catalyst, the loss of chloride results in catalyst inactivation and equipment corrosion [8,9]. Consequently, Cu-exchanged zeolite catalysts, as the chloride-free catalysts, have been considered as one of the most

potential catalysts for application in the DMC synthesis in recent years [7,10–14]. Nowadays, Cu-exchanged zeolite catalysts, such as CuY [10, 13,15–18], CuX [11,12], Cu-ZSM-5 [7,11,13], Cu-MOR [13] and Cu β [14], have been widely used to catalyze the oxidation carbonylation of methanol to DMC. Among these catalysts, CuY zeolite exhibits the highest catalytic activity and selectivity for the oxidative carbonylation of methanol to DMC [13].

CuY zeolite was generally prepared by the ion-exchanged method or incipient–wetness–impregnation, then activated at 600–750 °C in inert atmosphere [18–20], and found that high temperature treatment is necessary to allow for auto-reduction of Cu^{2+} to Cu^+ and the migration of copper species from supercages to sodalite cages or hexagonal prisms of the faujasite structure [18–22]. As reactant molecule, CO is very difficult to diffuse inside the sodalite cages and hexagonal prisms (2.3 Å) [23] of Y zeolite because of its large dynamic diameter (3.76 Å) [24], while they are easy to enter supercages (7.4 Å) [23], suggesting that only Cu^+ species in the supercage act as the active sites for the oxidative carbonylation of methanol to DMC [6,18,25].

Previous literatures [6,10,15,17,26] have reported the reaction mechanism for the oxidative carbonylation of methanol to DMC over Cu⁺ species in the supercage of Y zeolite. For example, Zheng and Bell [6] have selected the 6T atom cluster for one Cu⁺ cation associated with site II in the supercage to investigate the mechanism of DMC synthesis, and found that the molecularly adsorbed methanol is oxidized by oxygen to either mono-methoxide or di-methoxide species; then the

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formation of DMC derives from two distinct reaction pathways: one is the insertion of CO into mono-methoxide to CH₃OCO species, subsequently CH₃OCO rapidly reacts with CH₃OH to DMC; and another involves that DMC is formed by the CO addition to di-methoxide species. All the studies [6,10,15,17,26] suggested that CO insertion into CH₃O to CH₃OCO is the rate-limiting step of oxidative carbonylation of methanol to DMC.

In fact, there are numerous Cu species located at the sites in CuY zeolite, mainly including sites I' (I), II (II*), and III (see Fig. 1) [21,25,27,28]. The presence of Cu⁺ or other metal cations at the sites adjacent to the active center Cu⁺ generally results in different environments. Our group experimentally found that the introduction of Cs species changed the environment around the active center Cu⁺ species, and improved the space time yield of DMC over CuY zeolite [29]. Kieger et al. [30] and Ribeiro et al. [31] have obtained a similar effect of introducing Cs species into CuY zeolite. However, up to now, little is known about the effect of the environment around the active site on the catalytic activity of CuY zeolites.

In this study, we employ density functional theory (DFT) calculations to investigate how the presence of Cu^+ or Cs^+ cation, at the site adjacent to the active center, influences the environment around the active center Cu^+ species of CuY zeolite and its catalytic activity in DMC synthesis. The insertion of CO to methoxide species was chosen to evaluate the catalytic activity, since it is the rate-limiting step of oxidative carbonylation of methanol to DMC [6,10,15,17,26]. Firstly, an appropriate size of clusters was adopted to construct the stable configurations of CuY zeolites with different environments. Secondly, based on the stable configurations, the associated performances of the active center Cu^+ species have been considered and discussed in detail. Finally, our obtained results should provide the fundamental mechanisms of enhanced catalytic activity for CuY zeolites applied in the DMC synthesis field.

2. Computational details

2.1. Computational methods

Density functional theory calculations were performed using the DMol³ program package of Materials Studio 4.4 [32]. The generalized-

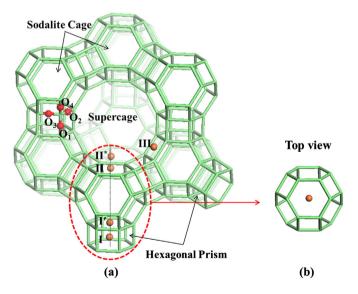


Fig. 1. The structure of faujasite. (a) Faujasite type structure with cationic sites (orange balls) and different crystallographic oxygen positions (red balls); (b) the top view of faujasite in the oval-shaped rings. Site *I*' is in the sodalite cage adjacent to six membered ring (6MR) shared by a sodalite cage and a hexagonal prism; site *I* is at the center of the hexagonal prism; site *II* is in the supercage adjacent to a 6MR shared by a sodalite cage and a supercage; site III's in the supercage adjacent to four membered ring (4MR) of a sodalite cage. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

gradient approximation (GGA) with the Perdew–Burke–Ernzerhof (PBE) exchange-correction functional was used to perform for all calculations [33]. The double numerical plus polarization (DNP) basis set [34], which is equivalent in accuracy to the commonly used 6-31G** Gaussian basis set, was used throughout the calculations. In this calculation, Si, O, H and C atoms were treated with all electron basis sets, while the inner electrons of the Al, Cu and Cs atoms were kept frozen and replaced by an effective core potential (ECP). The convergence criteria were set to be 2×10^{-5} Ha for energy, 4×10^{-3} Ha/Å for force, and 0.005 Å for displacement. Complete linear synchronous transit (LST) and quadratic synchronous transit (QST) were used to determine the transition state (*TS*).

For the reaction CO + CH₃O \rightarrow CH₃OCO on CuY zeolite, the reaction energy (ΔH) and activation barrier (E^{a}) are calculated as follows:

$$\Delta H = E_{\text{CH3OCO/CuY}} - E_{\text{CO+CH3O/CuY}}$$
$$E^{a} = E_{\text{TS/CuY}} - E_{\text{CO+CH3O/CuY}}$$

where $E_{CH3OCO/CuY}$ is the total energy for the product CH₃OCO on CuY zeolite; and $E_{CO} + CH3O/CuY}$ and $E_{TS/CuY}$ represent the total energies of the co-adsorbed CO and CH₃O on CuY zeolite and the transition state (*TS*) on CuY zeolite, respectively. The negative value of ΔH represents that the reaction is exothermic.

In addition, the binding energy of Cu^+ species (E_{bind}) in Y zeolite is calculated as follows [35]:

$$E_{\text{bind}} = E_{\text{Cu}} + E_{\text{Y}}^{-} - E_{\text{CuY}}.$$

Here, E_{Cu} represents the energy of single Cu⁺; and E_{Y}^{-} and E_{CuY} are the total energies of Y⁻ and CuY systems, respectively. The larger the value of E_{bind} is, the more stable the structure of Cu-exchanged zeolite system is.

The adsorption energy (E_{ads}) of the adsorbate-cluster system is defined as follows:

$$E_{\rm ads} = E_{\rm adsorbate} + E_{\rm CuY} - E_{\rm adsorbate/CuY}$$

where $E_{adsorbate}$ is the energy of adsorbate; and E_{CuY} and $E_{adsorbate/CuY}$ represent the total energies of the CuY cluster and adsorbate–CuY zeolite systems in the stable state. With this definition, the large adsorption energy indicates the strong interaction between the adsorbate and CuY zeolite.

2.2. Cluster model

The cluster approach, which allows for the use of high quality theoretical methods to describe the local conformations and interactions of molecules [6,16,25,36], was adopted. The geometry of the zeolite cluster model used in this work was taken from the framework structure of FAU. The dangling bonds near Al atoms were terminated by SiH₃ groups, and others were saturated by H atoms [25,37]. The terminal atoms were oriented along the bond direction as Y zeolite, and the bond length of Si–H and O–H bonds was set to 1.5 and 1.0 Å, respectively. In order to keep the local structure of Y zeolite during the optimization, for Y⁻, CuY, MY⁻ and CuMY (M is used to represent Cu or Cs) cluster models, the compensating charges, the Al atoms and the adjacent SiO₄ atoms were relaxed, while other atoms were fixed; for adsorbate–CuY cluster system, the compensating charges, the absorbed molecules and the 6MR occupied by the active center Cu⁺ species were relaxed.

In general, the calculation is sensitive to the size of cluster, and a cluster being too small may result in artifacts [38]. Consequently, it is necessary to find the right size of cluster for our study. For active site II in the supercage, the different sized clusters, consisting of 8T, 13T, 24T, 31T, 36T, 42T and 60T atoms (T is used to represent Al or Si atom) (see Fig. 2), were used. The binding energies of CU⁺ species in different sized Y clusters and the adsorption energies of CO on CuY zeolite

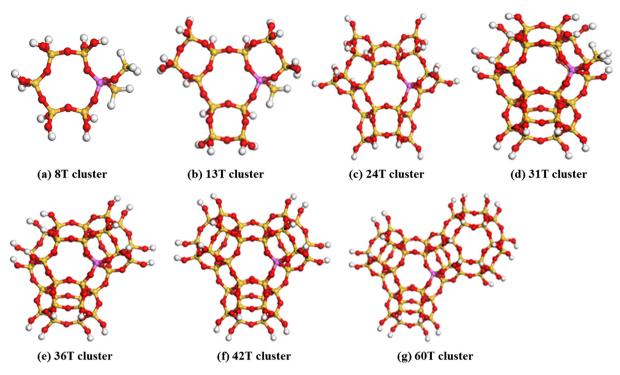


Fig. 2. The cluster geometries of Y zeolite with different sizes. Red, yellow, pink and white balls stand for O, Si, Al and H atoms, respectively. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

with different sized clusters are studied, as shown in Fig. 3. It can be found that the effect of the size on the adsorption energies of CO is negligible, while the Cu⁺ binding energies are significantly influenced by the size, suggesting that too small cluster model cannot fully reflect the structure of Y zeolite. Meanwhile, in order to investigate the effect of Cu⁺ species in the smallcage, the cluster model of Y zeolite should contain a sodalite cage and a hexagonal prism. Comparison of the Cu⁺ binding energies of the clusters with different sizes reveals that the difference between 31T cluster and the larger cluster (60T cluster) is negligible (see Fig. 3). Taking the computational cost into consideration, the 31T cluster model including a sodalite cage and a hexagonal prism has been adopted in this study, which is similar with the cluster model of the reference [16,36]. To reflect the Y zeolite with Si/Al = 5.3 used in the experimental study, 5 Si atoms are replaced by Al atoms, which

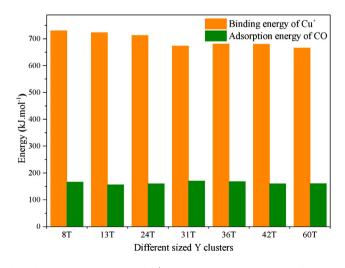


Fig. 3. The binding energies (E_{bind}) of Cu⁺ and the adsorption energies (E_{ads}) of CO on the clusters of CuY zeolites with different sizes.

are distributed according to our previous work [16]. The stable structure of cluster model is shown in Fig. 4, and the locations of Al atoms have been marked. Due to the replacement of Si atoms by Al atoms, negative charges are introduced and usually compensated by protons coordinated with crystallographic oxygen atoms adjacent to the Al atoms. There are four crystallographic oxygen positions in Y zeolite (see Fig. 1). O1H with the proton points to the supercage; O2H with the proton is located inside the 6MR shared by a sodalite cage and a supercage; O3H with the proton points to the inside of a 6MR shared by a sodalite cage and a hexagonal prism, and O4H with the proton points to the inside of a twelve-membered ring of a supercage. According to the literatures [35,39-41], a majority of charge-compensating protons are located at O1 sites, while the others occupy O3 sites to avoid the formation of – OH₂ group. In this study, for Y zeolite with five Al atoms, three charge-compensating protons are located at O1 sites, and two protons are at O3 sites (see Fig. 4); for CuY zeolite, Al atoms are compensated by four protons and one Cu⁺ cation; for CuMY zeolite, only three protons, one Cu⁺ cation and one M⁺ cation are used to compensate the five Al atoms.

2.3. The stable configurations of CuY zeolite with different environments

The results show that Cu^+ species at site I shift to the plane of 6MR shared by sodalite cage and hexagonal prism after optimization, and the stable structures are similar to the structure of Cu^+ at site I'; similarly, the Cu^+ species located at site II* move to the site II, which is adjacent to a 6MR shared by a sodalite cage and a supercage. The configuration of Cu^+ at site I' near Al3 atom is listed in Fig. 5(a), and Cu^+ at site I' near other Al atoms is close to Fig. 5(a); similarly, the configuration of Cu^+ at site II near Al2 atom is shown in Fig. 5(b), and Cu^+ at site II near other Al atoms is alike in Fig. 5(b). While there are two types of configurations for Cu^+ at site III: one is that Cu^+ species, coordinated with one O1 atom and one O4 atom, are located at the edge of two 4MRs (see Fig. 5(c)); another is that Cu^+ species bind to two O4 atoms above a 4MR (see Fig. 5(d)), which is more stable than the former. In addition, the average length of Cu-O and Cu-Al bonds of Cu at several cationic

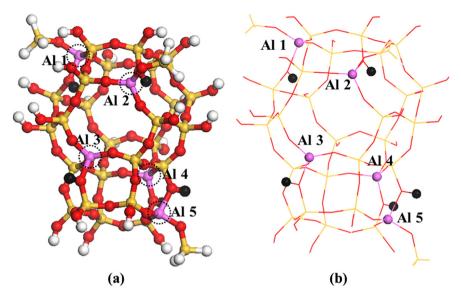


Fig. 4. Cluster geometry with a sodalite cage and a hexagonal prism of Y zeolite. (a) The cluster with ball and stick; (b) the cluster with ball and line. Black balls represent the locations of compensating protons; red, yellow, white and pink balls stand for O, Si, H and Al atoms, respectively. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

sites is close to the experimental results of Drake et al. [25], which shows that our calculation is credible.

As seen in Table 1, the binding energies of Cu⁺ decrease in the following order of site I' > site II > site III, indicating that site I' is the most stable location for Cu⁺ species in the Y zeolite, which explains the phenomenon that the exchanged Cu⁺ species in the supercage migrate into the smallcage during the high-temperature calcination [18,29]. It can be also found that the majority of Cu⁺ species in the surpercage are located at site II, which also prove the experimental results [25] that 17.2 and 33.3 Cu cations are present at site II and site I' per unit cell, respectively, but only 3.4 Cu/unit cell is located at site III. Therefore, site II and site I' were selected as the location of Cu⁺ species in the supercage and smallcage, respectively. Due to the aperture size of the sodalite window (2.3 Å) [23], Cs⁺ cation with an ionic radius of 1.67 Å [42] has a hindered access to the sodalite cage, so Cs^+ cation can only be located in the supercage [31,42, 43]. Frising and Leflaive [42] further pointed out that Cs⁺ cation only occupied site II* in Y zeolite. In this study, site II* was chosen as the location of Cs⁺ cation.

In order to illuminate easily, sites I', II, and II* adjacent to the active center Cu^+ at site II are denoted as I'_a , II_a , and II_a^* (see Fig. 6),

respectively. Cu^+ at II_a or I'_a and Cs^+ at II_a^{*} will lead to different environments around the active center. Thus, four configurations of Cu_{II} Y, $Cu_{II}Cu_{IIa}$ Y, $Cu_{II}Cu_{IIa}$ Y, $Cu_{II}Cu_{IIa}$ Y, $Cu_{II}Cu_{IIa}$ Y, and $Cu_{II}Cs_{IIa}$ *Y are constructed to reflect the different types of environments (see Fig. 7).

3. Results and discussion

3.1. The nature of the active center Cu^+ species

As Table 2 lists, the net charges of Cu⁺ decreases from 1.0 *e* of isolate Cu⁺ to 0.3–0.4 *e* of CuY zeolites with different environments, which attributes to the electrons transfer from Y zeolite to Cu⁺ species [44–46]. Similar results are gained on Cu⁺ at different locations of Y zeolite from Table 1. Relative to that (0.358 *e*) of Cu_{II}Y zeolite, the net charge of the active Cu in Cu_{II}Cu_{I'a}Y zeolite increases to 0.393 *e* due to the decreasing populations of 4s and 4p. The similar result is also found on Cu_{II}Cs_{IIa}*Y zeolite. While for Cu_{II}Cs_{IIa}*Y zeolite, the net charge (0.345 *e*) of the active Cu is lower than Cu_{II}Y zeolite, which is related to the slight decrease of 3d and 4p populations, and the increasing populations of 4s. It can also be found that the binding energies of the active cu_{II}Cu_{II}aY and

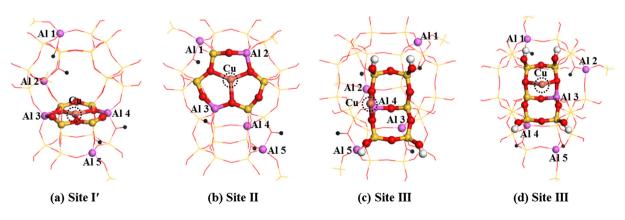


Fig. 5. The stable structures of Cu⁺ species located at several cationic sites of Y zeolite. (a) Cu⁺ located at site I' near Al3 atom; (b) Cu⁺ located at site II near Al2 atom; (c) Cu⁺ located at site II near Al3 atom; (d) Cu⁺ located at site II near Al3 atom. Orange balls (in the rings) stand for Cu atoms, and others see Fig. 4 for other color coding. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Table 1

The binding energies (E_{bind}) and the net charge (q (Cu)) of Cu⁺ species, and the average bond lengths of Cu⁻O and Cu⁻Al bonds of Cu⁺ species at different cationic sites of Y zeolite.

Location of Cu ⁺	Al location near Cu ⁺	E _{bind} (kJ∙mol ⁻¹)	q (Cu) (e)	Bond length (Å)		
				Average Cu – O	Averag Cu–Al	
I'	Al3 ^a	691.20	0.351	2.118	3.112	3.04 ^e
	Al4 ^a	687.20	0.345	2.114	3.174	
II	Al2 ^a	684.45	0.358	2.160	2.977	2.95 ^e
	Al3 ^a	677.73	0.327	2.187	2.949	
	Al4 ^b	646.56	0.328	2.186	2.945	
III	Al3 ^c	597.76	0.365	2.132	2.735	2.69 ^e
	Al4 ^d	625.21	0.476	2.039	2.689	

^a Cu⁺ species located adjacent to the 6MR with two Al atoms.

^b Cu⁺ species located adjacent to the 6MR with only one Al atom.

^c Cu⁺ species located above 4MR.

^d Cu⁺ species located in the edge of two 4MRs.

^e The results of reference [25].

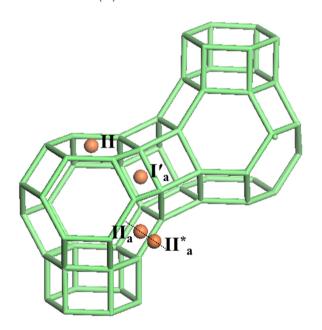


Fig. 6. The locations of sites I', II, and II* (orange balls) adjacent to the active center Cu^+ at site II, denoted as I'_a , II_a , and II_a^* , respectively. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

the HOMO–LUMO gap follows a similar trend, which agrees that the higher binding energy of Cu⁺ is related to a larger HOMO–LUMO gap [45]. Consequently, the comparison results of various catalysts manifest

that the differences of catalyst stability are related to the HOMO–LUMO gap, and the electronic properties of the active center Cu are influenced by its surrounding environment.

3.2. The adsorption of CO, CH₃OH and CH₃O on CuY zeolites with different environments

Previous studies [11,13,16] have pointed out that the adsorption of CO, CH₃OH, and CH₃O on CuY zeolite is related to the catalytic performance for DMC synthesis. The adsorption of CO on CuY zeolite is considered first. The stretching frequency (2113 cm⁻¹) and length (1.143 Å) of the C–O bond of CO in gas phase are calculated from our approach in accordance with the experimental values of 2125 cm⁻¹ [47] and 1.128 Å [48], respectively, which also shows that the approach used in this study is reliable.

As shown in Fig. 8, CO molecule is linearly adsorbed by the C atom at the active center Cu⁺ species of CuY zeolites with different environments. It can be also found that the adsorption of CO will lead to the shift of Cu^+ species from the plane of 6MR toward the supercage, and Cu^+ becomes twofold coordinated to O2 and O3 atoms of Y zeolite. Similar conclusions have been obtained by other researches [6,35,49,50]. Then, compared to 2113 cm⁻¹ of CO in gas phase, the adsorption of CO leads to the significant blue shift of CO vibrational frequency to 2135 cm⁻¹ of Cu_{II}Y, 2154 cm⁻¹ of Cu_{II}Cu_{IIa}Y and 2168 cm⁻¹ of Cu_{II}Cu_{I/a}Y, which are in good accordance with 2139 cm⁻¹ observed via IR spectroscopy [13,25]; meanwhile, their corresponding net charges of adsorbed CO are 0.425 e, 0.408 e and 0.426 e, respectively, suggesting that the electrons transfer from adsorbed CO to Cu⁺. Deka et al. [51] have also proved that the blue shift of CO vibrational frequency may attribute to the electron transfer from 5σ orbital of CO to the empty orbital of Cu⁺ cation. In addition, the C-O bond length is elongated from 1.143 Å in gas phase CO to 1.149 Å of $Cu_{II}Y$, 1.150 Å of $Cu_{II}Cu_{IIa}Y$ and 1.148 Å of $Cu_{II}Cu_{I'a}Y$ (see Fig. 8), indicating that the adsorption of CO weakens the C-O bond, and will contribute to the activation of CO.

The single adsorption of CH₃OH and CH₃O on CuY zeolites with different environments is also considered (see Fig. 8), and the corresponding calculated results are listed in Table 3. When oxygen is present in the feed, adsorbed CH₃OH can be converted rapidly to form CH₃O species over CuY zeolite [6,17]. As seen in Fig. 8, adsorbed CH₃OH and CH₃O on four types of catalysts are bound to the active center Cu⁺ through O atom. It can be concluded from Table 3 that the adsorption energies of surface species on four catalysts increase in the order of CH₃OH < CO < CH₃O, suggesting that CO binds to the active center Cu⁺ species more strongly than CH₃OH, while once CH₃O is formed, CH₃O species is the preferential adsorbed species and adsorbed CO is present as a minority species.

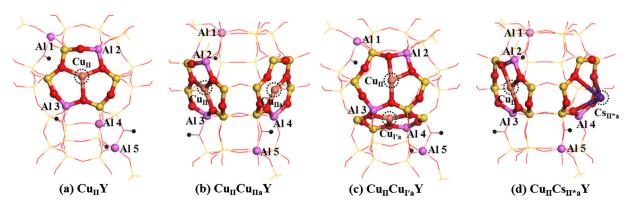


Fig. 7. The stable structures of Cu_{II}Y, Cu_{II}Cu_{IIa}Y, Cu_{II}Cu_{IIa}Y, Cu_{II}Cu_{IIa}Y, Cu_{II}Cu_{IIa}Y, and Cu_{II}Cs_{IIa}Y zeolites. Purple and orange (in the rings) balls stand for Cs and Cu atoms, and others see Fig. 4 for the color coding. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Table 2

The binding energies (E_{bind}), the net charge (q (Cu)) and the electronic configurations of the active center Cu⁺ located at site II near Al2 atom, and HOMO–LUMO gaps of CuY zeolites with different environments.

Catalyst	$E_{\rm bind} (\rm kJ \cdot mol^{-1})$	$q\left(\mathrm{Cu}\right)^{\mathrm{a}}\left(e\right)$	Electronic configuration of Cu ^b	Gap (eV)
Cu _{II} Y	684.45	0.358	$\begin{array}{l} 3d^{9.951} 4s^{0.441} 4p^{0.25} \\ 3d^{9.958} 4s^{0.428} 4p^{0.249} \\ 3d^{9.953} 4s^{0.425} 4p^{0.229} \\ 3d^{9.943} 4s^{0.477} 4p^{0.235} \end{array}$	0.09627
Cu _{II} Cu _{IIa} Y	671.99	0.365		0.08874
Cu _{II} Cu _{I'a} Y	647.39	0.393		0.07310
Cu _{II} Cs _{IIa*} Y	673.21	0.345		0.09029

^a The net charge of the active center Cu⁺ species at II.

^b The electronic configuration of the active center Cu⁺ species at II.

3.3. The co-adsorption of CO/CH $_3$ O on CuY zeolites with different environments

Based on the stable configurations of adsorbed CO and CH₃O, the configurations of co-adsorbed CO/CH₃O on four types of CuY zeolites can be also obtained (see Fig. 9). The corresponding calculated results are given in Table 3. Taking Cu_{II}Y zeolite for example, the co-adsorbed CO/CH₃O reduces the adsorption energy of CO from 137.93 kJ·mol⁻¹ of adsorbed CO to 74.18 kJ·mol⁻¹, which indicates that the presence of CH₃O the on active center Cu⁺ leads to the weaker adsorption of CO. Relative to the single adsorption of CO, the stretching vibrational

frequency of co-adsorbed CO red shifts to 2130 cm⁻¹, and the corresponding net charge decreases from 0.425 *e* to 0.363 *e*. The former case is attributed to an increase in electron transfer from Cu⁺ species to the π^* anti-bonding orbital of CO [6,13], which agrees to the decrease of net charge of CO in the latter case. In addition, in co-adsorbed CO/CH₃O system, the adsorption energy (140.13 kJ·mol⁻¹) of CH₃O is lower than that (157.07 kJ·mol⁻¹) of its single adsorption, and the corresponding length of Cu – OCH₃ is elongated from 1.818 Å to 1.885 Å, which is in favor of CO insertion.

For CuY zeolites with other environments, similar results and stable configurations for co-adsorbed CO/CH₃O have been obtained and shown in Table 3 and Fig. 9, respectively. For co-adsorbed CO/CH₃O on Cu_{II}Cu_{IIa}Y zeolite (see Fig. 9(b)), the length (1.906 Å) of Cu – OCH₃ bond is longer than that (1.885 Å) of CuY zeolite. Meanwhile, compared to Cu_{II}Y zeolite, the adsorption energy of co-adsorbed CO on Cu_{II}Cu_{IIa}Y zeolite increases to 76.83 kJ·mol⁻¹, and that of co-adsorbed CH₃O decreases to 136.18 kJ·mol⁻¹. Similarly, for Cu_{II}Cs_{IIa}·Y zeolite, the co-adsorbed CO/CH₃O reduces the adsorption energy of CO from 153.66 kJ·mol⁻¹ of CO single adsorption to 95.89 kJ·mol⁻¹, which is the largest adsorption energy of co-adsorbed CO among four types of catalysts. And the Cu – OCH₃ bond of co-adsorbed CH₃O is 1.911 Å, which is longer than CuY zeolite with other environments (see Fig. 9). Nevertheless, for Cu_{II}Cu_{I'a}Y zeolite, the Cu – OCH₃ bond (1.844 Å) in co-adsorbed CO/CH₃O system is much shorter than 1.885 Å of Cu_{II}Y

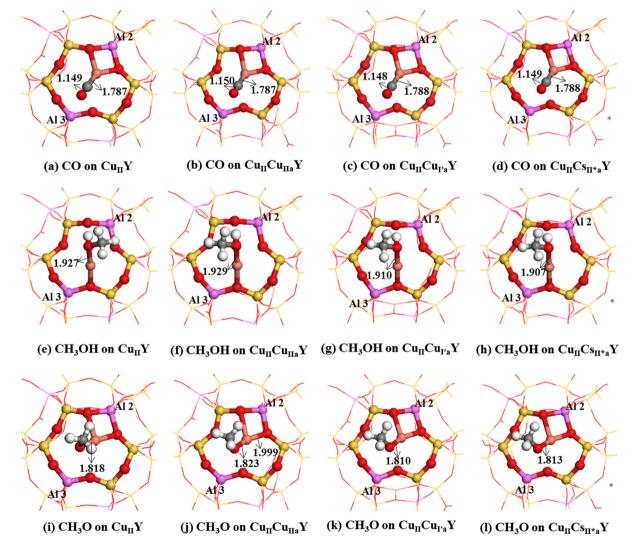


Fig. 8. The optimized stable adsorption configurations of single CO, CH₃OH and CH₃O species on Cu_{II}Y, Cu_{II}Cu_{II}_aY, Cu_{II}Cu_{II}_aY and Cu_{II}Cs_{II}_aY catalysts, respectively (unit: Å). Orange and gray balls stand for Cu and C atoms, and others see Fig. 4 for the color coding. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Table 3

The adsorption energies of single CH₃OH and adsorbed (co-adsorbed) CO (CH₃O) as well as the net charge (q (CO)) and the stretching vibrational frequencies (ν_{C-O}) of the adsorbed (co-adsorbed) CO on CuY zeolites with different environments.

Catalyst	Adsorbed CH ₃ OH	Adsorbed CO			Co-adsorbed CO			Adsorbed CH ₃ O	Co-adsorbed CH ₃ O
	E_{ads} (kJ·mol ⁻¹)	E_{ads} (kJ·mol ⁻¹)	$\nu_{\rm C^{-0}} ({\rm cm^{-1}})$	q (CO) (e)	E_{ads} (kJ·mol ⁻¹)	$\nu_{\rm C^{-0}} ({\rm cm^{-1}})$	q (CO) (e)	$E_{ads} (kJ \cdot mol^{-1})^1$	E_{ads} (kJ·mol ⁻¹)
Cu _{II} Y	86.91	137.93	2135	0.425	74.18	2130	0.363	157.07	140.13
Cu _{II} Cu _{IIa} Y	87.37	142.27	2154	0.408	76.83	2140	0.366	166.46	136.08
Cu _{II} Cu _{I'a} Y	103.17	154.40	2168	0.426	42.35	2140	0.395	177.32	110.10
$Cu_{II}Cs_{IIa^*}Y$	103.17	153.66	2094	0.409	95.89	2094	0.378	175.11	145.62

zeolite, which corresponds to the decrease of CO adsorption energy in co-adsorbed CO/CH₃O system from 74.18 kJ·mol⁻¹ of Cu_{II}Y zeolite to 42.35 kJ·mol⁻¹.

It can be concluded that the presence of Cu species at II_a or Cs species at II_a^* improves the adsorption energy of co-adsorbed CO on the active center Cu⁺, and elongates the Cu – OCH₃ bond of co-adsorption CO/CH₃O system, which can accommodate the insertion of CO much easier [25,52]. While Cu⁺ species in the smallcage play opposite roles, the existence of Cu⁺ species at I'_a restrains CO insertion.

3.4. The catalytic activity of CuY zeolites with different environments

As mentioned in the Introduction section, CO insertion into CH_3O to CH_3OCO is selected to evaluate the effect of environment around the active center Cu^+ species on the catalytic activity in DMC synthesis. The reaction of CO insertion into CH_3O to CH_3OCO goes through the formation of C–O bond with the C atom of CO binding to the O atom of CH_3O via a transition state (*TSn*). Based on the configurations of co-adsorbed CO/CH₃O and the adsorbed CH₃OCO, the reactions of CO insertion into

CH₃O species over CuY zeolites with different environments are calculated by the LST/QST method.

It can be seen from the black line in Fig. 10 that CO insertion reaction over Cu_{II}Y zeolite needs to overcome an activation barrier of 78.32 kJ·mol⁻¹ via *TS1* (see Fig. 9(i)). For *TS1*, the distance of C atom to CO and O atoms of CH₃O decreases to 1.870 Å from 2.253 Å in the initial co-adsorbed CO/CH₃O, indicating that the C-O bond tends to be formed. In TS1, the adsorbed CO is activated with a C – O bond elongated to 1.180 Å, and the Cu – OCH₃ distance (2.250 Å) is much longer than that (1.885 Å) in the initial co-adsorbed CO/CH₃O, which is in favor of CO insertion. For Cu_{II}Cu_{IIa}Y zeolite, CO insertion into CH₃O species needs to overcome a lower activation barrier of 64.59 kJ \cdot mol⁻¹ via TS2 (see Fig. 9(j)), and this reaction is exothermic by 75.91 kJ·mol⁻¹. Similarly, for TS2, Cu – OCH₃ bond is elongated to 2.260 Å from 1.906 Å in the initial co-adsorbed CO/CH_3O , and the C-O length of CO increases to 1.179 Å. Nevertheless, for Cu_{II}Cu_{I'a}Y zeolite, CO insertion reaction has an activation barrier of 138.96 kJ·mol⁻¹ via TS3 (see Fig. 9(k)) with the Cu–OCH₃ of 2.356 Å. For TS3, the corresponding C–O bond length (1.150 Å) of CO is slightly longer than that (1.145 Å) of CO in the initial co-adsorbed CO/CH₃O, suggesting that Cu^+ species at I'_a restrains the activation of CO, which is in agreement with a higher

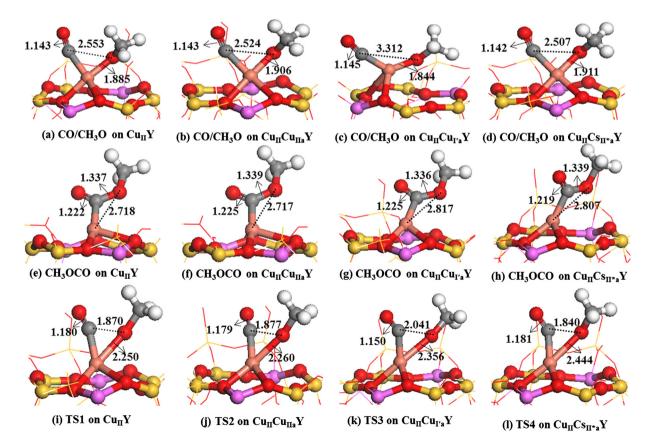


Fig. 9. Related structures of initial states, TSn (n = 1-4) and final states involved in CO insertion into CH₃O species to CH₃OCO on Cu_{II}Y, Cu_{II}Cu_{IIa}Y, Cu_{II}Cu_{IIa}Y catalysts (unit: Å). See Fig. 8 for the color coding. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

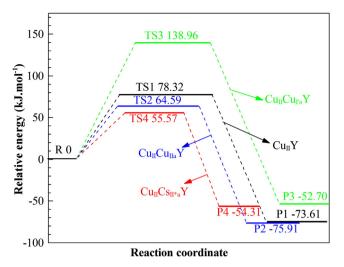


Fig. 10. Potential energy profiles for the reaction of CO insertion into CH_3O species to CH_3OCO over $Cu_{II}Y$, $Cu_{II}Cu_{II_3}Y$, $Cu_{II}Cu_{Ir_3}Y$ and $Cu_{II}Cs_{II_4}Y$ catalysts. *TSn* (n = 1-4) represent the transition states involved in CO insertion into CH_3O species to CH_3OCO .

activation barrier of Cu_{II}Cu_{I'a}Y. For Cu_{II}Cs_{IIa}-Y zeolite, CO insertion reaction needs to overcome an activation barrier of 55.57 kJ·mol⁻¹ via *TS4* (see Fig. 9(1)) with the longest Cu – OCH₃ bond of 2.444 Å, indicating that CO can easily insert into CH₃O, which is corresponding with the highest catalytic activity of Cu_{II}Cs_{IIa}-Y zeolite. In conclusion, the activation barriers of four types of catalysts decrease in the following order of Cu_{II}Cu_{I'a}Y, Cu_{II}Y, Cu_{II}Q, and Cu_{II}Cs_{IIa}-Y zeolites, indicating that the catalytic activity should be sensitive to the environment around the active center Cu⁺ species.

In order to further probe into the effect of the environment, the adsorption energies of co-adsorbed CO/CH₃O, as well as transition states for the elementary reaction of CH₃OCO formation on four types of catalysts with different environments have been also calculated (see Table 4). It can be seen from Table 4 that the adsorption energies of co-adsorbed CO/CH₃O and *TS1* for the elementary reaction of CH₃OCO formation on Cu_{II}Y zeolite are 211.03 and 132.52 kJ·mol⁻¹, respective-ly. For Cu_{II}Cu_{IIa}Y zeolite, the adsorption energy (210.90 kJ·mol⁻¹) of co-adsorbed CO/CH₃O is similar to that on Cu_{II}Y zeolite, but the adsorption energy of *TS2* on Cu_{II}Cu_{IIa}Y zeolite increases to 146.55 kJ·mol⁻¹. For Cu_{II}Cu_{I'a}Y zeolite, the adsorption energy (241.11 kJ·mol⁻¹) of CO/CH₃O is higher by 30.08 kJ·mol⁻¹ than Cu_{II}Y zeolite, and the adsorption energy (101.80 kJ·mol⁻¹) of *TS3* is lower by 30.72 kJ·mol⁻¹ than Cu_{II}Y zeolite. While the adsorption energy (167.19 kJ·mol⁻¹) of *TS4* on Cu_{II}Cs_{IIa}·Y zeolite is the largest among four types of catalysts.

The calculated results confirm that the presence of Cu⁺ species at the adjacent site II_a can well stabilize the configuration of *TS2*, achieving the enhanced ability of CO insertion; the existence of Cu⁺ species at I'_a in the smallcage improves the stability of co-adsorbed CO/CH₃O configuration and destabilizes the *TS3* for the elementary reaction of CH₃OCO formation. In general, the high stability of transition state for the elementary reaction is related to the good catalytic activity of the reaction [52,53]. Therefore, the above analysis results account for the low activation barrier (64.59 kJ·mol⁻¹) for Cu_{II}Cu_{IIA}Y zeolite, and the high

Table 4

The adsorption energies of co-adsorbed CO/CH₃O and *TS* involved in CO insertion into CH₃O to CH₃OCO over CuY zeolites with different environments.

Catalyst	$E_{\rm ads}$ (kJ·mol ⁻¹)				
	Co-adsorbed CO/CH ₃ O	TSn			
Cu _{II} Y	211.03	132.52 (TS1)			
Cu _{II} Cu _{IIa} Y	210.90	146.55 (TS2)			
Cu _{II} Cu _{I'a} Y	241.11	101.80 (TS3)			
$Cu_{II}Cs_{IIa^*}Y$	222.59	167.19 (TS4)			

one (138.96 kJ·mol⁻¹) for Cu_{II}Cu_{I'a}Y zeolite, manifesting that Cu_{II}Cu_{IIa}Y zeolite exhibits better catalytic activity. Furthermore, introducing Cs species furthest enhances the stability of *TS4* for the formation of CH₃OCO, as a result, the activation barrier of CO insertion reaction over Cu_{II}Cs_{IIa}Y zeolite decreases, and ultimately the catalytic activity of CuY zeolite for DMC synthesis is improved, which is in good agreement with our previous experimental results [29].

4. Conclusions

In this study, DFT method has been employed to investigate the catalytic activity of the active center Cu⁺ species with four types of environments in the oxidative carbonylation of methanol to DMC. The stable configurations of CuY zeolites reflecting different environments around Cu⁺ species have been constructed. The results show that the order of catalytic activity is $Cu_{II}Cs_{IIa^*}Y > Cu_{II}Cu_{IIa}Y > Cu_{II}Y > Cu_{II}Cu_{I'a}Y$. For the rate-limiting step of DMC formation, CO insertion into CH₃O to CH_3OCO , the presence of Cu^+ at II_a enhances the stability of the transition state, which exhibits better catalytic activity for DMC formation. Whereas, Cu^+ at I'_a in the smallcage destabilizes the transition state, and makes the active center Cu⁺ exhibit lower catalytic activity; further, the migration of Cu species from the surpercage to smallcage decreases the amount of the active Cu species, and reduces the catalytic activity of CuY zeolites, namely, hindering the migration of Cu⁺ species in the smallcage can improve the catalytic activity of CuY zeolites, this is an interesting finding to develop newly efficient CuY zeolites in DMC synthesis. Finally, compared to the above three types of CuY zeolites, the introduction of Cs species into the supercage greatly stabilizes the transition state, and exhibits the best catalytic activity among four types of CuY zeolites.

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