



# Insight into CH<sub>x</sub> formation in Fischer–Tropsch synthesis on the hexahedron Co catalyst: Effect of surface structure on the preferential mechanism and existence form

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## ABSTRACT

Spin-polarized density functional theory calculations have been performed to investigate the preferential mechanism of CH<sub>x</sub> ( $x = 1-3$ ) formation in Fischer–Tropsch synthesis on only the hexahedron Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 0) surfaces. Our results show that CO hydrogenation to CHO is favored compared to CO direct dissociation and hydrogenation to COH on these two surfaces. Starting from C and CHO, we further seek out the optimal pathways of CH<sub>x</sub> formation, suggesting that CH<sub>x</sub> is mainly formed through H-assisted CO dissociation pathways on Co(10 $\bar{1}$ 0)-A surface, in which CH is formed via CHO dissociation, CH<sub>2</sub> and CH<sub>3</sub> are formed through CH<sub>2</sub>O with the direct and H-assisted dissociation, respectively; meanwhile, CH<sub>2</sub> hydrogenation also contributes to CH<sub>3</sub> formation; CH<sub>2</sub> and CH<sub>3</sub> are the surface abundant species on Co(10 $\bar{1}$ 0)-A surface. However, on Co(10 $\bar{1}$ 1) surface, CH<sub>x</sub> species is formed through CO direct dissociation into C, followed by C successive hydrogenation, C and CH are the surface abundant species. Therefore, Co surface structure can affect the preferential formation pathways and the dominant existence form of CH<sub>x</sub> species. Moreover, CH<sub>3</sub>OH formation cannot compete with CH<sub>x</sub> formation on Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces, considering both surfaces covering 63% of the total surface area exposed of hexahedron Co surfaces, which depends on the reaction conditions, particle size, catalyst support, carbon deposition and many other factors, the contribution to the overall CH<sub>x</sub> sources from Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces even surpasses that of other hexahedron Co surfaces under the certain realistic conditions. As a result, the hexahedron Co surfaces exhibit high catalytic selectivity for CH<sub>x</sub> formation, and provide more CH<sub>x</sub> sources to participate into the F-T synthesis.

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## 1. Introduction

The Fischer–Tropsch synthesis (FTS) is a set of chemical reactions that converts syngas (CO + H<sub>2</sub>) into the long-chain alkanes, alkenes, and small amounts of oxygenates [1–3]. Cobalt (Co) catalyst is widely used due to its high activity and selectivity towards the desired hydrocarbons, low intrinsic activity for water-gas-shift reaction and the relatively low cost [4–7]. To date, two accepted mechanisms have been proposed for syngas conversion to hydrocarbons, one is the carbide mechanism proposed by Fischer and Tropsch [8] with the C<sub>1</sub> intermediates formed by the direct C–O bond cleavage of CO into C, followed by the successive hydrogenation to CH<sub>x</sub> intermediate; the other is CO insertion mechanism

proposed by Pichler and Schulz [9] with the chain growth via CO insertion into CH<sub>x</sub> to CH<sub>x</sub>CO, followed by the C–O bond scission and further hydrogenation to hydrocarbons. Above two mechanisms show that CH<sub>x</sub> formation is one of the key steps involving in FTS process, thus, a fundamental understanding about the preferential mechanism of CH<sub>x</sub> formation from syngas on Co-based catalysts is desired at a molecular level.

For CH<sub>x</sub> ( $x = 1-3$ ) formation, CO direct dissociation mechanism (the C–O bond scission followed by hydrogenation) or H-assisted CO dissociation mechanism via C<sub>1</sub> oxygenates has aroused certain concerns. Experimental [10–12] and theoretical [13–18] studies have suggested that the corrugated and stepped surfaces exhibit the enhanced activity of CO direct dissociation compared to the flat surface. For example, UHV studies have presented the undissociated CO adsorbed on the flat Co(0001) surface under low pressure and 100–450 K [19]. Inderwildi et al. [20] have found that CH and CH<sub>2</sub> species come from CHO and CH<sub>2</sub>O direct dissociation on

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Co(0001) surface rather than CO direct dissociation. The studies by Ojeda et al. [21] on the flat Co(0001) surface have also shown that CO prefers to be hydrogenated to CHO, the further hydrogenation species CHOH and CH<sub>2</sub>O are responsible for CH and CH<sub>2</sub> formations, respectively. Thus, H-assisted CO dissociation mechanism via C<sub>1</sub> oxygenates is suggested as an important mechanism for CH and CH<sub>2</sub> formations on the flat Co(0001) surface. However, on the corrugated and stepped Co surface, CO direct dissociation would be favorable. Ge et al. [15] have proposed that the corrugated Co(11 $\bar{2}$ 4) and stepped Co(10 $\bar{1}$ 2) surfaces can significantly enhance the reactivity by effectively reducing the activation barrier of CO direct dissociation compared to the flat Co(0001) surface [22,13]. Shetty et al. [18] have also clearly declared that CO direct dissociation is much more favorable than H-assisted CO dissociation for CH formation on Co(10 $\bar{1}$ 0)-B surface. The studies by Liu et al. [17] supported that CO prefers to be the direct dissociation rather than being hydrogenated to CHO, followed by the C–O bond dissociation into CH on the corrugated Co(11 $\bar{2}$ 1) and stepped Co(10 $\bar{1}$ 1) surfaces, meanwhile, Liu et al. [16] also presented that besides CO direct dissociation, H-assisted CO dissociation is also responsible for CH<sub>x</sub> formation on the corrugated Co(11 $\bar{2}$ 0) and stepped Co(10 $\bar{1}$ 2) surfaces. Huo et al. [23] and Helden et al. [24] further found that CH formation with H-assisted CO dissociation mechanism via CHO intermediate is more favorable than CO direct dissociation and C hydrogenation to CH on the double stepped Co(0001) and stepped Co(211) surfaces, respectively, and CH<sub>2</sub>O dissociation via the C–O bond cleavage is responsible for CH<sub>2</sub> formation [23]. Recently, our studies [25] have investigated the C<sub>2</sub> hydrocarbons formation from syngas on fcc-Co(111) and the stepped Co(111) surfaces, respectively, the results indicate that CH is the dominant monomer formed by H-assisted CO dissociation, namely, CO + H → CHO → CH + O.

Above results for CH<sub>x</sub>(x = 1–3) formation show that CO direct dissociation mechanism and/or H-assisted CO dissociation mechanism via C<sub>1</sub> oxygenates can be responsible for CH<sub>x</sub> formation on different Co surfaces, which greatly depends on Co surface structure, namely, CH<sub>x</sub> formation on Co surface is sensitive to surface structure.

On the other hand, for metal Co, it is well-known that Co can exist in two crystallographic structures, namely, the hexagonal close packed (hcp) phase and the face-centered cubic (fcc) phase, and both phases are observed in FTS [17]. The existence form of phase will depend on the conditions, particle size, catalyst support and promoter, carbon deposition and many other factors. The hcp structure is preferred for the bulk Co, when the crystallites with the Co particles is below 100 nm, the fcc phase are more stable than the hcp phase [26,27]. On the other hand, when the temperature is above 695 K [28,29], the phase transition from hexahedron Co to face-centered cubic Co occurs, suggesting that the hcp Co exists in FTS process due to its low temperature of about 530 K [30,31], moreover, the hcp Co has higher FTS activity than fcc Co. Further, since our previous studies [25] have investigated the CH<sub>x</sub> formation from syngas on fcc-Co(111) and the stepped Co(111) surfaces, as a result, in this study, we only focus on the hcp Co. It is worth noting that the morphology of the bulk hcp Co is a dihedral-like shape with two close-packed (0001) surfaces. Although (0001) surface has the very low surface energy, it covers only 18% of the total surface area exposed, the open Co(10 $\bar{1}$ 1) and Co(10 $\bar{1}$ 0)-A surfaces with the higher surface energy dominate 35% and 28% of the total surface area exposed, respectively [17]. In addition, for Co(10 $\bar{1}$ 0) surface, the spacing between adjacent layers is alternately short or long, which are denoted as Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 0)-B surfaces, respectively, however, the full dynamic analyses demonstrated that the equilibrium surface structure is exclusively terminated with the short interlayer spacing outermost Co(10 $\bar{1}$ 0)-A, which is unreconstructed [32–34]. Moreover, it is interesting to note that, although

the active sites (such as step edges) on some reported hexahedron Co surfaces has the higher catalytic activity, typically they are unstable in nature, and their corresponding exposed surface area is very low [17]. Whereas, both Co(10 $\bar{1}$ 1) and Co(10 $\bar{1}$ 0)-A surfaces covering 35% and 28% of the total surface area exposed comes from its higher surface atomic density, relative lower surface energy.

So far, on the hexahedron Co(10 $\bar{1}$ 1) and Co(10 $\bar{1}$ 0)-A surfaces, there is few unequivocal results about the detailed preferential mechanism of CH<sub>x</sub>(x = 1–3) formation and the dominant surface CH<sub>x</sub> monomer, as well as the effect of the hexahedron Co surface structure on the preferential mechanism of CH<sub>x</sub> formation, which are still open to discussion.

Thus, in this study, the detailed formation mechanism of CH<sub>x</sub> monomer from syngas in FTS process on Co catalysts will be carried out on the hexahedron Co(10 $\bar{1}$ 1) and Co(10 $\bar{1}$ 0)-A surfaces using density functional theory (DFT) calculations together with the periodic slab models. The results of this work are expected to provide an instructive understanding at a molecular level about the preferential mechanism of CH<sub>x</sub> formation, and the most favorable CH<sub>x</sub> monomer, as well as the effect of the hexahedron Co surface structure on CH<sub>x</sub> formation in FTS process.

## 2. Computational details

### 2.1. Computational methods

All calculations have been carried out using the periodic spin-polarized DFT implemented in the Vienna Ab Initio Simulation Package (VASP) [35,36]. The generalized gradient approximation with Perdew-Burke-Ernzerhof exchange-correlation functional (GGA-PBE) is used [37]. The kinetic energy cutoff for a plane wave basis set is 400 eV, a Monkhorst-Pack mesh k-points of 5 × 5 × 1 is used [38], and the projector augmented wave pseudopotentials are used to describe the inner-shell electrons. When the change of total energy are converged to 5 × 10<sup>-6</sup> eV/atom, and the forces on the ions are below 10<sup>-2</sup> eV/Å, the optimization is thought to be converged. On the other hand, reaction pathways have been investigated using the climbing-image (CI-NEB) method [39,40]. Transition states have been optimized using the dimer method [41,42]. The structure of the transition state is deemed converged when the forces acting on the atoms are all less than 0.05 eV/Å and the structures are at a saddle point.

### 2.2. Surface model

A p(3 × 2) supercell for Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces with a 15 and 12 Å vacuum space has been employed, respectively. During the calculations, the uppermost two metal layers together with the adsorbed species are fully relaxed, the bottom layer is fixed at the Co bulk positions. For Co(10 $\bar{1}$ 0)-A surface, four adsorption sites exist: Top, Bridge, Hcp and 4-fold hollow sites, as shown in Fig. 1(a). For Co(10 $\bar{1}$ 1) surface, five adsorption sites exist: Top, Bridge, Hcp, Fcc and 4-fold hollow sites, as shown in Fig. 1(b).

For a reaction such as R (reactant) → P (product) on catalyst surface, the activation barrier (E<sub>a</sub>) and reaction energy (ΔE) are calculated according to the following formulas:

$$E_a = E_{TS} - E_R$$

$$\Delta E = E_P - E_R$$

Where E<sub>R</sub> and E<sub>P</sub> are the total energies of the adsorbed reactant and product, respectively, and E<sub>TS</sub> is the total energies of the transition state.

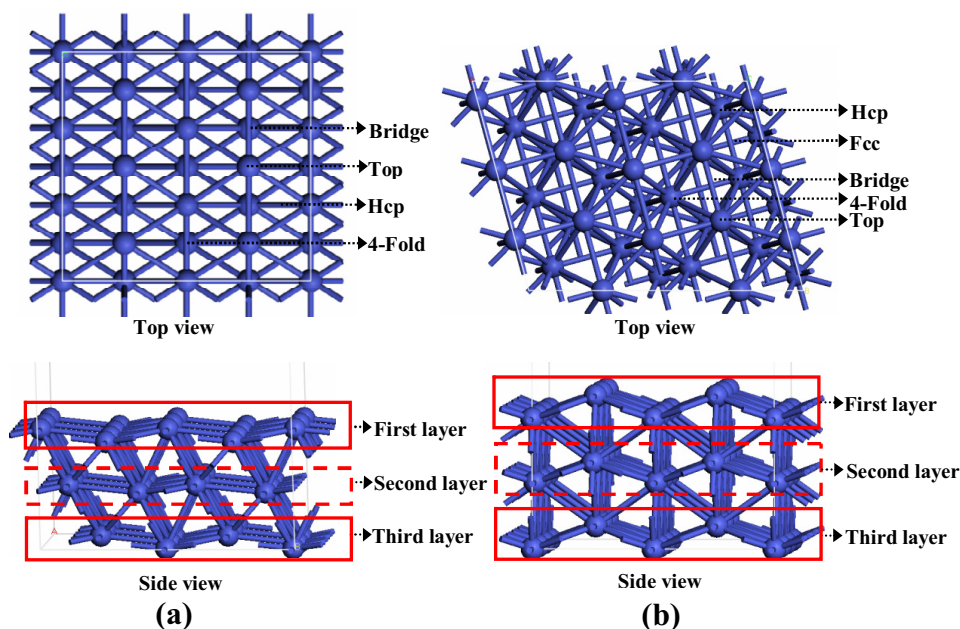


Fig. 1. The surface morphology and the corresponding adsorption sites of (a) Co(10 $\bar{1}0$ )-A and (b) Co(10 $\bar{1}1$ ) surfaces.

### 3. Results and discussion

In order to investigate the formation mechanism of CH<sub>x</sub> species, we firstly examine the adsorption of all possible species involved in CH<sub>x</sub> formation from syngas on Co(10 $\bar{1}1$ ) and Co(10 $\bar{1}0$ )-A surfaces, respectively. Here, only the most stable adsorption configurations are given in Figs. S1 and S2, the corresponding adsorption energies and key structural parameters are listed in Tables S1 and S2.

The adsorption energy ( $E_{\text{ads}}$ ) is defined as follows:

$$E_{\text{ads}} = E_{(\text{adsorbate/slab})} - E_{(\text{slab})} - E_{(\text{adsorbate})}$$

Where  $E_{(\text{adsorbate/slab})}$ ,  $E_{(\text{slab})}$  and  $E_{(\text{adsorbate})}$  are the total energies of the slab with the adsorbate in the equilibrium state, the slab surface and the free adsorbate, respectively. With this definition, more negative value of the adsorption energy reflect the strong interaction between the adsorbed species and the slab Co surface. Therefore, the stronger interaction would be more exothermic with more negative adsorption energies.

#### 3.1. CO initial activation

For CO initial activation, as listed in Table 1, CO direct dissociation, CO hydrogenation to CHO or COH (R1-1–R1-3) have been considered on Co(10 $\bar{1}1$ ) and Co(10 $\bar{1}0$ )-A surfaces, respectively. The corresponding potential energy profile together with the structures of initial states (ISs), transition states (TSs) and final states (FSs) are presented in Fig. 2.

On Co(10 $\bar{1}0$ )-A surface, CO direct dissociation (R1-1) goes through a transition state TS1-1 to form C + O, CO is adsorbed at the hcp site with the C–O bond length of 1.20 Å, in TS1-1, C migrates to the 4-fold hollow site, O tends to adsorb at the neighboring hcp site, the corresponding C–O distance is extended to 1.88 Å, in the final state, the C–O distance is lengthened to 3.96 Å with the C and O atoms adsorbed at the 4-fold hollow site and hcp site, respectively, this elementary reaction is endothermic by 62.0 kJ mol<sup>-1</sup>, and it has an activation barrier of 188.9 kJ mol<sup>-1</sup>. For CO hydrogenation to CHO in R1-2, in its initial state, CO and H are adsorbed at two adjacent hcp sites, respectively, the C–H distance is shortened to 1.13 Å in TS1-2 from 2.63 Å in CO + H(1), this elementary reaction needs to overcome an activation barrier of 113.6 kJ mol<sup>-1</sup>, which

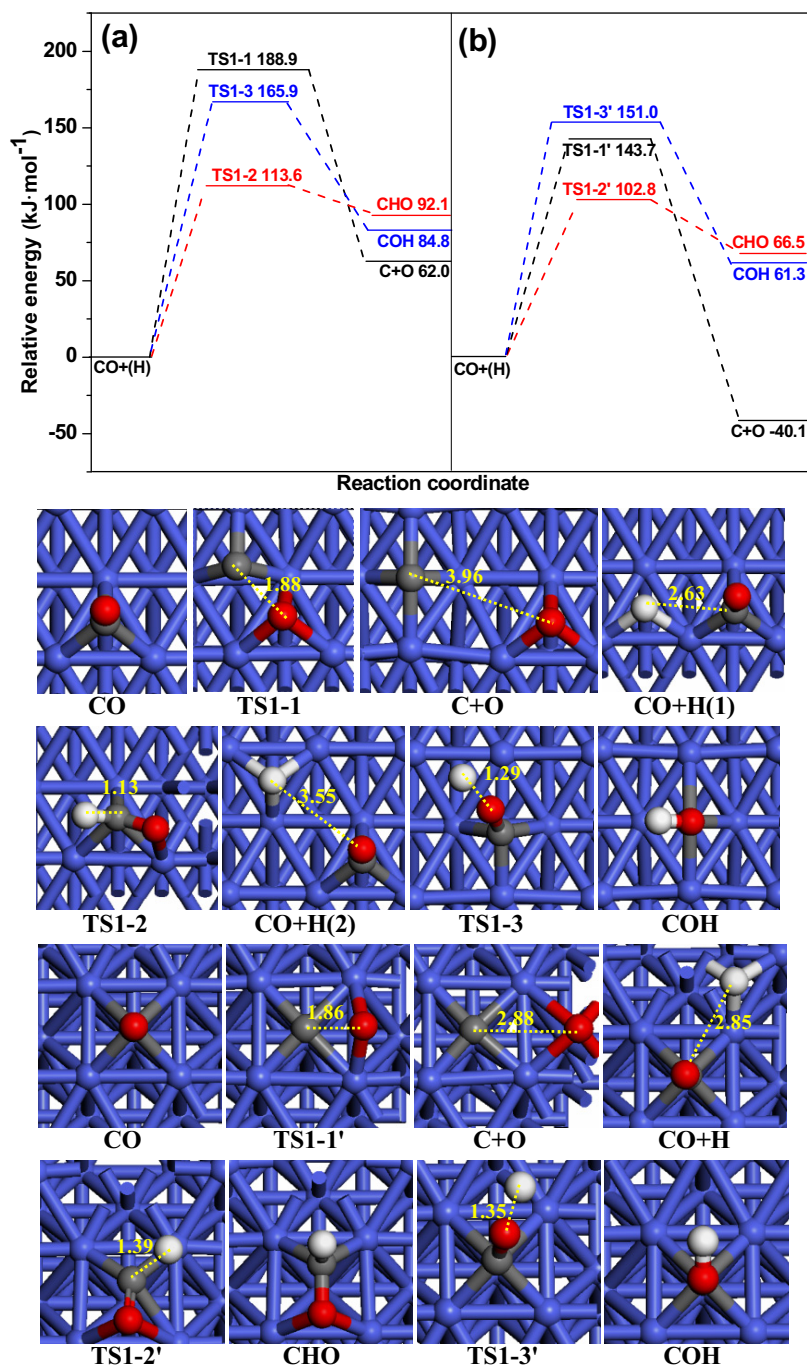
is endothermic by 92.1 kJ mol<sup>-1</sup>. For CO hydrogenation to COH in R1-3, the O–H distance decreases to 1.29 Å in TS1-3 from 3.55 Å in CO + H(2), this elementary reaction has an activation barrier of 165.9 kJ mol<sup>-1</sup> with the reaction energy of 84.8 kJ mol<sup>-1</sup>.

On Co(10 $\bar{1}1$ ) surface, in R1-1', starting from CO adsorbed at the hcp site, CO direct dissociation can form C and O via a transition state TS1-1'; in TS1-1', C stays at the 4-fold hollow site, O tends to adsorb at the adjacent 4-fold hollow site, the C–O distance is extended to 1.86 Å from 1.22 Å in CO, in the final state, the C–O distance is increased to 2.88 Å with C and O adsorbed at two adjacent 4-fold hollow sites, respectively, this elementary reaction is exothermic by 40.1 kJ mol<sup>-1</sup>, and it has an activation barrier of 143.7 kJ mol<sup>-1</sup>. In R1-2', CHO formation starts with CO and H adsorbed at the 4-fold hollow and hcp sites, respectively, the C–H distance is shortened to 1.39 Å in TS1-2' from 2.85 Å in CO + H, this elementary reaction needs to overcome an activation barrier of 102.8 kJ mol<sup>-1</sup>, and it is endothermic by 66.5 kJ mol<sup>-1</sup>. In R1-3', CO hydrogenation can form COH via TS1-3', the O–H distance decreases to 1.35 Å in TS1-3' from 2.85 Å in CO + H, this elementary reaction has an activation barrier of 151.0 kJ mol<sup>-1</sup> with the reaction energy of 61.3 kJ mol<sup>-1</sup>.

On the basis of above results, we can obtain that when CO and H are co-adsorbed on Co(10 $\bar{1}0$ )-A and Co(10 $\bar{1}1$ ) surfaces, respectively, CO hydrogenation to CHO is more favorable than other two reactions in kinetics. The differences of activation barriers between Co(10 $\bar{1}0$ )-A and Co(10 $\bar{1}1$ ) surfaces are 45.2, 10.8 and 14.9 kJ mol<sup>-1</sup> for CO direct dissociation, CHO and COH formations, respectively, suggesting that CO direct dissociation is the most structurally sensitive reactions on Co catalysts.

#### 3.2. CH<sub>x</sub> (x = 1–3) formation

For CH<sub>x</sub> formation from syngas, two accepted mechanisms exist, one is the direct C–O bond cleavage of CO to produce C, followed by C successive hydrogenation to CH<sub>x</sub> species, the other is CO hydrogenation to CH<sub>x</sub>O or CH<sub>x</sub>OH intermediates, followed by its direct or H-assisted C–O bond cleavage to CH<sub>x</sub> species. In this study, above two mechanisms have been examined. Starting from CO activation, the activation barriers and reaction energies of all possible reactions involving in CH<sub>x</sub> formation from syngas on Co(10 $\bar{1}0$ )-A and



**Fig. 2.** The potential energy profile of CO activation together with the structures of initial states (ISs), transition states (TSs) and final states (FSs) on Co(10 $\bar{1}0$ )-A and (b) Co(10 $\bar{1}1$ ) surfaces. The Co, C, H and O atoms are shown in the purple, grey, white and red balls, respectively. Bond lengths are in Å (for interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article).

Co(10 $\bar{1}1$ ) surfaces have been listed in Table 1. Figs. S3–S8 in the Supplementary material present the potential energy profiles of all possible pathways of CH<sub>x</sub> formation together with ISs, TSs and FSs. Fig. 3 only displays the potential energy profiles of the most favorable H-assisted CO dissociation pathway and the hydrogenation pathway for CH<sub>x</sub> formation on Co(10 $\bar{1}0$ )-A and Co(10 $\bar{1}1$ ) surfaces, respectively.

### 3.2.1. CH formation

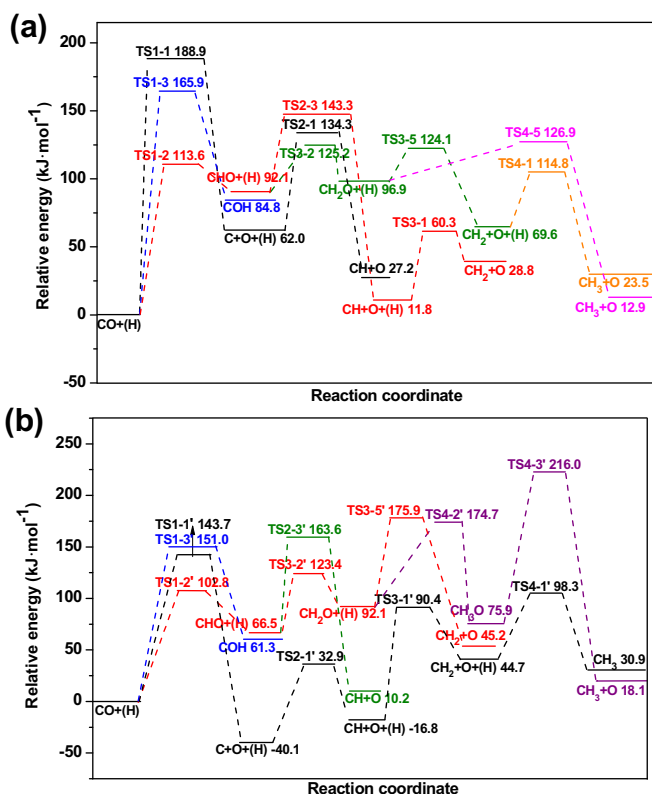
Starting from C+H, CHO, CHO+H and CHOH species, five pathways with six reactions (R2–1kR2-6) for CH formation have been considered, as shown in Table 1.

As depicted in Fig. 3(a), on Co(10 $\bar{1}0$ )-A surface, with respect to CO+H species, the CO direct dissociation pathway of CO+H → C+O+H → CH+O (R1-1, R2-1) has the overall barrier and reaction energy of 188.9 and 27.2 kJ mol<sup>-1</sup>, respectively. However, the optimal H-assisted CO dissociation pathway of CO+H → CHO → CH+O (R1-2, R2-3) has the overall barrier and reaction energy of 143.3 and 11.8 kJ mol<sup>-1</sup>, respectively, which are also lower than that for CO hydrogenation to COH (165.9 and 84.8 kJ mol<sup>-1</sup>), suggesting that H-assisted CO dissociation pathway is dominantly responsible for CH formation on Co(10 $\bar{1}0$ )-A surface.

On Co(10 $\bar{1}1$ ) surface, as shown in Fig. 3(b), with respect to CO+H species, CO direct dissociation pathway,

**Table 1**  
All possible elementary reactions and the corresponding activation barriers ( $E_a$ /kJ mol<sup>-1</sup>) and reaction energies ( $\Delta E$ /kJ mol<sup>-1</sup>) involving in CH<sub>x</sub> formation from syngas on Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces.

Reactions	Co(10 $\bar{1}$ 0)-A surface		Co(10 $\bar{1}$ 1) surface		
	$E_a$	$\Delta E$	$E_a$	$\Delta E$	
R1-1	CO → C + O	188.9	62	143.7	-40.1
R1-2	CO + H → CHO	113.6	92.1	102.8	66.5
R1-3	CO + H → COH	165.9	84.8	151	61.3
R2-1	C + H → CH	72.3	-34.8	73	23.3
R2-2	CHO + H → CHOH	125	41.1	165	105.2
R2-3	CHO → CH + O	51.2	-80.3	97.1	-56.3
R2-4	CHOH → CH + OH	60.4	-103.9	42.9	-117
R2-5	CHO + H → CH + OH	-	-	-	-
R2-6	CHOH + H → CH + H <sub>2</sub> O	71.5	-54.9	55.3	-83.3
R3-1	CH + H → CH <sub>2</sub>	48.5	17	107.2	61.5
R3-2	CHO + H → CH <sub>2</sub> O	33.1	4.8	56.9	25.6
R3-3	CH <sub>2</sub> O + H → CH <sub>2</sub> OH	79	18.1	142.5	76.4
R3-4	CHOH + H → CH <sub>2</sub> OH	49	-18.2	57	-3.2
R3-5	CH <sub>2</sub> O → CH <sub>2</sub> + O	27.2	-27.3	83.8	-46.9
R3-6	CH <sub>2</sub> OH → CH <sub>2</sub> + OH	64.6	-82.2	42.2	9112.1
R3-7	CH <sub>2</sub> O + H → CH <sub>2</sub> + OH	40.8	-64.1	98.3	-35.7
R3-8	CH <sub>2</sub> OH + H → CH <sub>2</sub> + H <sub>2</sub> O	87.8	-6	-	-
R3-9	CHO + H → CH <sub>2</sub> + O	-	-	-	-
R3-10	CHOH + H → CH <sub>2</sub> + OH	-	-	72	-115.3
R4-1	CH <sub>2</sub> + H → CH <sub>3</sub>	45.2	-46.1	53.6	-13.8
R4-2	CH <sub>2</sub> O + H → CH <sub>3</sub> O	56.1	-36.8	82.6	-16.2
R4-3	CH <sub>3</sub> O → CH <sub>3</sub> + O	130.7	-47.2	140.1	-57.8
R4-4	CH <sub>3</sub> O + H → CH <sub>3</sub> + OH	-	-	-	-
R4-5	CH <sub>2</sub> O + H → CH <sub>3</sub> + O	30	-84	-	-
R4-6	CH <sub>2</sub> OH + H → CH <sub>3</sub> + OH	62.3	-78.4	46.5	-96.4
R4-7	CH <sub>3</sub> O + H → CH <sub>3</sub> OH	134.4	38.6	158.4	72.6
R4-8	CH <sub>2</sub> OH + H → CH <sub>3</sub> OH	64.5	-16.3	60.2	-20



**Fig. 3.** The potential energy profiles of CH<sub>x</sub> hydrogenation pathway and the optimal H-assisted CO dissociation pathway for CH<sub>x</sub> ( $x=1-3$ ) formation with respect to CO + H species on Co(10 $\bar{1}$ 0)-A and (b) Co(10 $\bar{1}$ 1) surfaces.

CO + H → C + O + H → CH + O (R1-1', R2-1'), becomes more favorable for CH formation than the optimal H-assisted CO dissociation pathway of CO + H → CHO → CH + O (R1-2', R2-3') both dynami-

cally (143.7 vs. 163.6 kJ mol<sup>-1</sup>) and thermodynamically (-16.8 vs. 10.2 kJ mol<sup>-1</sup>); meanwhile, CO direct dissociation is also favorable than CO hydrogenation to COH (151.0 and 61.3 kJ mol<sup>-1</sup>). Thus, CO direct dissociation pathway, CO + H → C + O + H → CH + O, dominantly contributes to CH formation on Co(10 $\bar{1}$ 1) surface.

### 3.2.2. CH<sub>2</sub> formation

Starting from CH + H, CHO + H, CHOH + H, CH<sub>2</sub>O, CH<sub>2</sub>OH, CH<sub>2</sub>O + H and CH<sub>2</sub>OH + H, nine pathways with ten reactions (R3-1–R3-10) may lead to CH<sub>2</sub> formation, as listed in Table 1. R3-1 is the reaction of CH hydrogenation to CH<sub>2</sub>, R3-2–R3-10 are the reactions related to CH<sub>2</sub> formation via CHO intermediate (H-assisted CO dissociation pathway).

As shown in Fig. 3(a), on Co(10 $\bar{1}$ 0)-A surface, with respect to CO + H species, the optimal pathway of CO + H → CHO → CH + O + H → CH<sub>2</sub> + O (R1-2, R2-3, R3-1) has the overall barrier and reaction energy of 143.3 and 28.8 kJ mol<sup>-1</sup>, respectively. However, the optimal H-assisted CO dissociation pathway of CO + H → CHO + H → CH<sub>2</sub>O → CH<sub>2</sub> + O (R1-2, R3-2, R3-5) has the overall barrier and reaction energy of 125.2 and 69.6 kJ mol<sup>-1</sup>, respectively, which is also much favorable than CO direct dissociation (188.9 kJ mol<sup>-1</sup>) and CO hydrogenation to COH (165.9 kJ mol<sup>-1</sup>) in kinetics, as a result, the optimal H-assisted CO dissociation pathway of CO + H → CHO + H → CH<sub>2</sub>O → CH<sub>2</sub> + O dominantly contributes to CH<sub>2</sub> formation.

As shown in Fig. 3(b), on Co(10 $\bar{1}$ 1) surface, the optimal H-assisted CO dissociation pathway of CO + H → CHO + H → CH<sub>2</sub>O → CH<sub>2</sub> + O (R1-2', R3-2', R3-5') has the overall barrier and reaction energy of 175.9 and 45.2 kJ mol<sup>-1</sup>, respectively. However, CO direct dissociation pathway of CO + H → C + O + H → CH + O + H → CH<sub>2</sub> + O (R1-1', R2-1', R3-1'), respectively, which is also favorable than CO hydrogenation to COH (151.0 and 61.3 kJ mol<sup>-1</sup>). Therefore, CO direct dissociation pathway is dominantly responsible for CH<sub>2</sub> formation on Co(10 $\bar{1}$ 1) surface.

### 3.2.3. CH<sub>3</sub> formation

Starting from CH<sub>2</sub> + H, CH<sub>2</sub>O + H, CH<sub>3</sub>O and CH<sub>3</sub>O + H, as shown in Table 1, six pathways with six reactions for CH<sub>3</sub> formation (R4-1–R4-6) have been considered. R4-1 is the reaction of CH<sub>2</sub> hydrogenation to CH<sub>3</sub>, R4-2–R4-6 are the reactions related to CH<sub>3</sub> formation via the H-assisted CO dissociation pathway.

As shown in Fig. 3(a), on Co(10 $\bar{1}$ 0)-A surface, with respect to CO + H species, the optimal pathway of CO + H → CHO + H → CH<sub>2</sub>O → CH<sub>2</sub> + O + H → CH<sub>3</sub> + O (R1-2, R3-5, R4-1) has the overall barrier and reaction energy of 125.2 and 23.5 kJ mol<sup>-1</sup>, respectively, meanwhile, the optimal H-assisted CO dissociation pathways of CO + H → CHO + H → CH<sub>2</sub>O + H → CH<sub>3</sub> + O (R1-2, R3-2, R4-5) has the overall barrier and reaction energy of 126.9 and 12.9 kJ mol<sup>-1</sup>, respectively. As a result, above two pathways dominantly contribute to CH<sub>3</sub> formations.

As shown in Fig. 3(b), on Co(10 $\bar{1}$ 1) surfaces, the optimal H-assisted CO dissociation pathway of CO + H → CHO + H → CH<sub>2</sub>O + H → CH<sub>3</sub>O → CH<sub>3</sub> + O (R1-2', R3-2', R4-2', R4-3') has the overall barrier and reaction energy of 216.0 and 18.1 kJ mol<sup>-1</sup>, respectively. However, the CO direct dissociation pathway of CO + H → C + O + H → CH + O + H → CH<sub>2</sub> + O + H → CH<sub>3</sub> + O (R1-1', R2-1', R3-1', R4-1') has the overall barrier and reaction energy of 143.7 and 30.9 kJ mol<sup>-1</sup>, respectively, which is also favorable than CO hydrogenation to COH (151.0 and 61.3 kJ mol<sup>-1</sup>). Thus, CO direct dissociation pathway of CO + H → C + O + H → CH + O + H → CH<sub>2</sub> + O + H → CH<sub>3</sub> + O is dominantly responsible for CH<sub>3</sub> formation.

### 3.3. General discussion

#### 3.3.1. The effect of surface structure on the formation pathways and existence form of CH<sub>x</sub>

Fig. 4 presents the most favorable pathways of CH<sub>x</sub> formation on Co(10 $\bar{1}$ 0)-A surface. It can be seen that, H-assisted CO dissociation pathway of CO + H → CHO → CH + O dominantly contributes to CH formation. H-assisted CO dissociation pathway of CO + H → CHO + H → CH<sub>2</sub>O → CH<sub>2</sub> + O is mainly responsible for CH<sub>2</sub> formation. For CH<sub>3</sub> formation, there are two parallel H-assisted CO dissociation pathways of CO + H → CHO + H → CH<sub>2</sub>O → CH<sub>2</sub> + O + H → CH<sub>3</sub> + O and CO + H → CHO + H → CH<sub>2</sub>O + H → CH<sub>3</sub> + O. Thus, CH<sub>x</sub> formation dominantly goes through H-assisted CO dissociation pathways on Co(10 $\bar{1}$ 0)-A surface.

Fig. 5 presents the most favorable pathways of CH<sub>x</sub> formation on Co(10 $\bar{1}$ 1) surface, suggesting that CH<sub>x</sub> formation mainly proceeds through CO direct dissociation into O and C atoms, followed by C successive hydrogenation to CH<sub>x</sub> species, namely, CH<sub>x</sub> formation will be dominantly carried out by CO direct dissociation pathways of CO + xH → C + O + xH → CH<sub>x</sub> on Co(10 $\bar{1}$ 1) surface.

Previous studies by Liu et al. [17] have systematically investigated CO activation on different fcc and hcp Co surfaces, their results show that direct CO dissociation is preferred over hcp surfaces while H-assisted dissociation is found over fcc. In fact, CO direct dissociation mainly focus on the hcp Co(11 $\bar{2}$ 1), Co(10 $\bar{1}$ 1), Co(10 $\bar{1}$ 2) and Co(11 $\bar{2}$ 0) surfaces, whereas CO direct dissociation on Co(10 $\bar{1}$ 0)-A surface has a higher activation barrier of 172.7 kJ mol<sup>-1</sup> with the lower reaction rate of 2.0 × 10<sup>7</sup> s<sup>-1</sup> site<sup>-1</sup>, moreover, the fcc Co(100), (311) and (110) surfaces is more favorable CO direct dissociation than the hcp Co(10 $\bar{1}$ 0)-A surface. Since CO mainly focuses H-assisted dissociation on fcc Co surfaces, CO activation on Co(10 $\bar{1}$ 0)-A surface should also prefer to be the H-assisted dissociation, our present results confirm that CO activation on Co(10 $\bar{1}$ 0)-A prefers the H-assisted dissociation. On the other hand, the studies by Liu et al. [17] also shown that CO direct dissociation on Co(10 $\bar{1}$ 1) surface has an activation barrier of 116.7 kJ mol<sup>-1</sup> with

the reaction rate of 2.7 × 10<sup>14</sup> s<sup>-1</sup> site<sup>-1</sup>, meanwhile, compared to the direct route from CO + H → C + O + H, the H-assisted route from CO + H → CHO → CH + O are kinetically unfavorable, which agree with our results that CO activation prefers to the H-assisted dissociation on Co(10 $\bar{1}$ 1) surface.

From Fig. 4, we can see that the formation pathways of CH<sub>2</sub> and CH<sub>3</sub> species have the overall barriers of 125.2 and 125.2 (126.9) kJ mol<sup>-1</sup>, respectively, which are lower than those for CH and C formations, as a result, CH<sub>2</sub> and CH<sub>3</sub> are the most abundant CH<sub>x</sub> species to join in chain growth in F-T synthesis on Co(10 $\bar{1}$ 0)-A surface.

As presented in Fig. 5, on Co(10 $\bar{1}$ 1) surface, C coupling has an activation barrier of 192.1 kJ mol<sup>-1</sup>, which is higher than that of C hydrogenation to CH with an activation barrier of 73.0 kJ mol<sup>-1</sup>, and CH dissociation has an activation barrier of 49.7 kJ mol<sup>-1</sup>. CH hydrogenation to CH<sub>2</sub> has an activation barrier of 107.2 kJ mol<sup>-1</sup>, and that of its back reaction is 45.7 kJ mol<sup>-1</sup>. CH<sub>2</sub> hydrogenation to CH<sub>3</sub> has an activation barrier of 53.6 kJ mol<sup>-1</sup>, and that of its back reaction is 67.4 kJ mol<sup>-1</sup>. In addition, CH<sub>3</sub> hydrogenation to CH<sub>4</sub> has an activation barrier of 92.3 kJ mol<sup>-1</sup> and its back activation barrier is 109.0 kJ mol<sup>-1</sup>. These results show that surface C can be easily hydrogenated to CH rather than its coupling, both CH and CH<sub>2</sub> hydrogenation are more difficult than CH<sub>2</sub> dissociation. Thus, among all CH<sub>x</sub> species, C and CH species are expected to be the most abundant species. About C species, it is worth mentioning that previous studies about the effect of C covering Co surface on CO activation have indicated that C on the corrugated Co(11 $\bar{2}$ 1) surface will decrease its activity [43], meanwhile, in this study, the high adsorption energy of C means the tight binding and the low CO dissociation barrier on the corrugated Co(10 $\bar{1}$ 1) surface, which can accord with the results reported by Liu et al. [17], suggesting that the activity of the corrugated Co(10 $\bar{1}$ 1) surface may be reduced by C covering, thus, the lower CO dissociation barrier and the higher stability of C should be balanced.

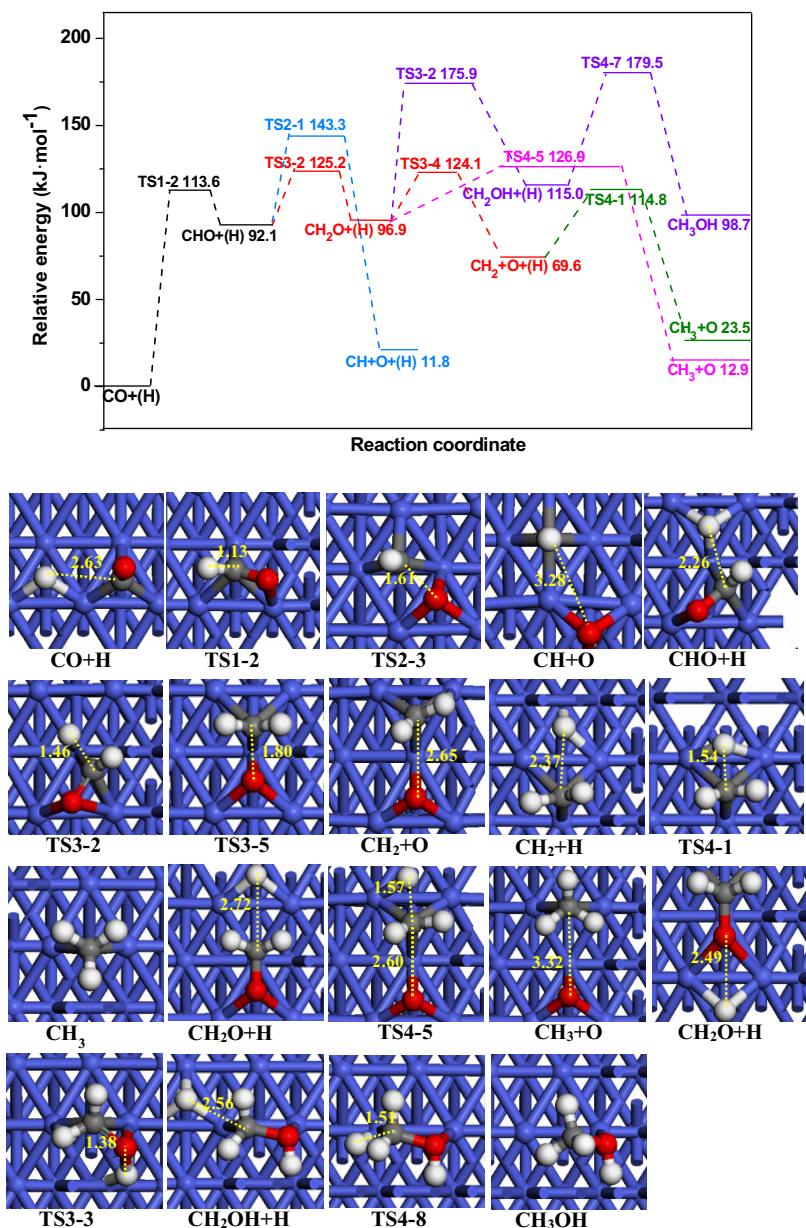
Above results show that the differences of CH<sub>x</sub> preferential formation pathway between Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces can be attributed to that Co(10 $\bar{1}$ 0)-A surface exhibits the low catalytic activity towards CO direct dissociation, and reduces the possibility of C hydrogenation to CH<sub>x</sub>. However, on Co(10 $\bar{1}$ 1) surface, the pathway of CO direct dissociation into C, followed by C successive hydrogenation to CH<sub>x</sub> species is more favorable than that CO hydrogenation to CH<sub>x</sub>O, followed by its C–O bond scission to CH<sub>x</sub> species; suggesting that the hexahedron Co surface structure can affect the preferential formation pathways of CH<sub>x</sub> species.

On the other hand, the dominant existence of CH<sub>x</sub> species on Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) are different, both CH<sub>2</sub> and CH<sub>3</sub> are the most abundant CH<sub>x</sub> species on Co(10 $\bar{1}$ 0)-A surface, both C and CH species are the most abundant species Co(10 $\bar{1}$ 1) surfaces, indicating that the hexahedron Co surface structure can also affect the dominant existence form of CH<sub>x</sub> species. It should be noted that when CH<sub>3</sub> species on Co(10 $\bar{1}$ 0)-A surface is involved in chain growth, the role of CH<sub>3</sub> in FT chain growth must surely be limited, it can only act as an end for alkanes.

#### 3.3.2. The effect of methanol formation on CH<sub>x</sub> formation

Since methanol may be formed from syngas in FTS process over Co-based/doped catalysts [44–46], in order to probe into the effect of CH<sub>3</sub>OH formation on CH<sub>x</sub> formation, we firstly investigate the mechanism of CH<sub>3</sub>OH formation. With respect to CO + H species, three pathways may be responsible for CH<sub>3</sub>OH formation: CO + H → CHO + H → CHO + H → CH<sub>2</sub>OH + H → CH<sub>3</sub>OH, CO + H → CHO + H → CH<sub>2</sub>O + H → CH<sub>2</sub>OH + H → CH<sub>3</sub>OH and CO + H → CHO + H → CH<sub>2</sub>O + H → CH<sub>3</sub>O + H → CH<sub>3</sub>OH.

Figs. S9 and S10 present the summary potential energy diagram of CH<sub>3</sub>OH formation from syngas on Co(10 $\bar{1}$ 0)-A

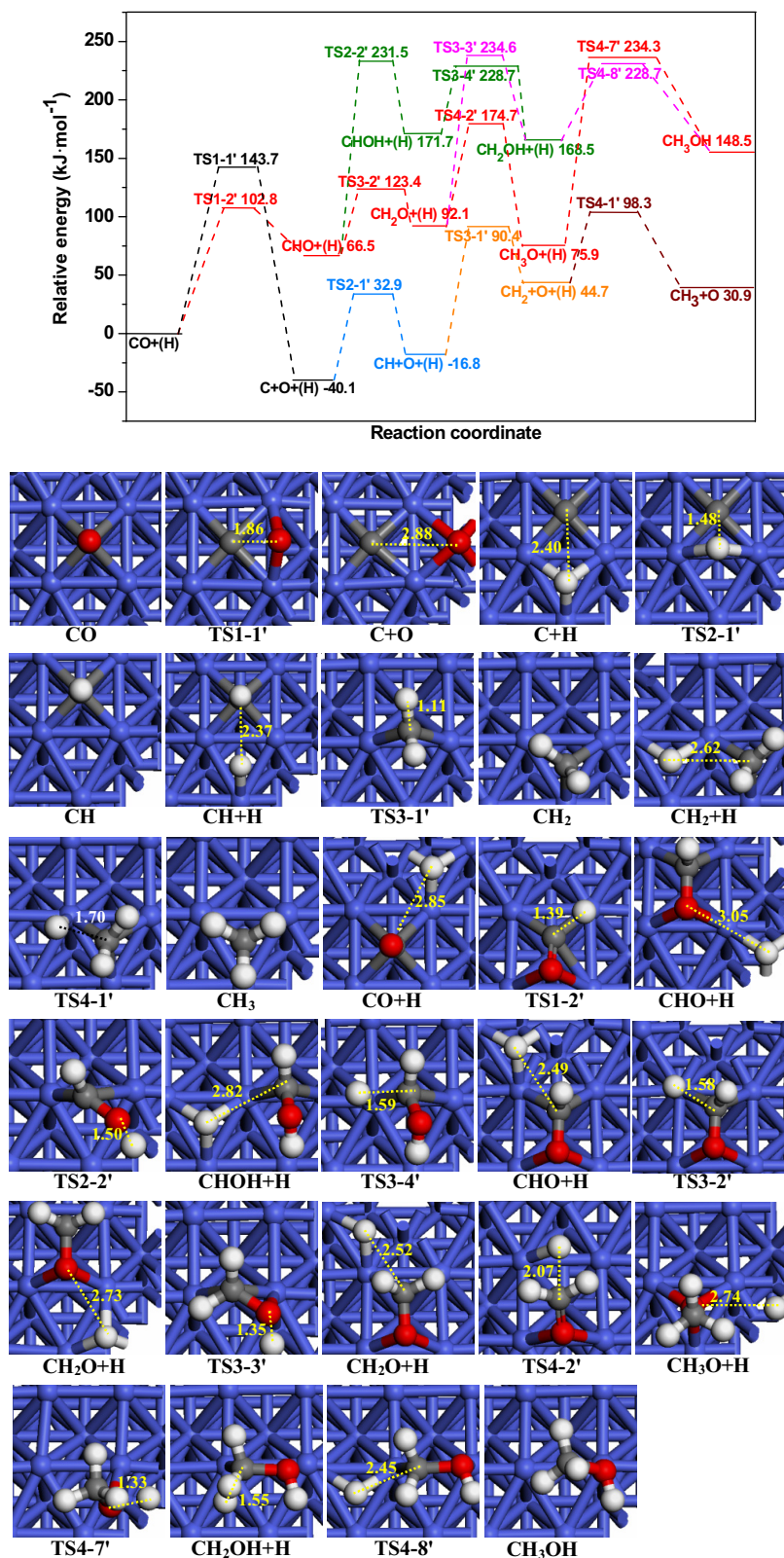


**Fig. 4.** The potential energy profile for the optimal paths of  $\text{CH}_x$  ( $x=1-3$ ) and  $\text{CH}_3\text{OH}$  formation together with the structures of initial states (ISs), transition states (TSs) and final states (FSs) on  $\text{Co}(10\bar{1}1)$  surface. See Fig. 2 for color coding. Bond lengths are in Å.

and  $\text{Co}(10\bar{1}1)$  surfaces, respectively. The calculated results show that above three pathways on  $\text{Co}(10\bar{1}0)$ -A surface have the corresponding overall barriers of 217.1, 179.5 and 194.5  $\text{kJ mol}^{-1}$ , respectively. As a result, the pathway of  $\text{CO} + \text{H} \rightarrow \text{CHO} + \text{H} \rightarrow \text{CH}_2\text{O} + \text{H} \rightarrow \text{CH}_2\text{OH} + \text{H} \rightarrow \text{CH}_3\text{OH}$  is the dominant pathway of  $\text{CH}_3\text{OH}$  formation on  $\text{Co}(10\bar{1}0)$ -A surface. On  $\text{Co}(10\bar{1}1)$  surface, the overall barriers of these three pathways are 231.5, 234.6 and 234.3  $\text{kJ mol}^{-1}$ , respectively, suggesting that these three parallel pathways dominantly contributes to  $\text{CH}_3\text{OH}$  formation. Moreover, previous studies by Cheng et al. [47] have extensively calculated two pathways of  $\text{CH}_3\text{OH}$  formation on both flat and stepped  $\text{Co}(0001)$ , (i)  $\text{CO} + \text{H} \rightarrow \text{CHO} + \text{H} \rightarrow \text{CH}_2\text{O} + \text{H} \rightarrow \text{CH}_3\text{O} + \text{H} \rightarrow \text{CH}_3\text{OH}$ ; (ii)  $\text{CO} + \text{H} \rightarrow \text{COH} + \text{H} \rightarrow \text{CHOH} + \text{H} \rightarrow \text{CH}_2\text{OH} + \text{H} \rightarrow \text{CH}_3\text{OH}$ ; the results show that the pathway via  $\text{CHO}$  is thermodynamically and kinetically more favored than the pathway via  $\text{COH}$  on both the flat and stepped  $\text{Co}(0001)$ , which is in agreement with our present result that  $\text{CH}_3\text{OH}$  is formed via  $\text{CHO}$  pathway. Meanwhile, the stepped

$\text{Co}(0001)$  surface are generally more favorable for  $\text{CH}_3\text{OH}$  formation than the flat surface, suggesting that these reactions prefer to occur on the step sites. Above results on different hexahedron Co surfaces suggest that the hexahedron Co surface structure can also affect the preferential formation pathways and the catalytic activity of  $\text{CH}_3\text{OH}$  formation, which agree with our present results.

From Figs. 4 and 5, we can obtain that  $\text{CH}_x$  ( $x=1-3$ ) formation is more favorable both dynamically and thermodynamically than  $\text{CH}_3\text{OH}$  formation on the hexahedron  $\text{Co}(10\bar{1}0)$ -A and  $\text{Co}(10\bar{1}1)$  surfaces. Thus, the hexahedron  $\text{Co}(10\bar{1}0)$ -A and  $\text{Co}(10\bar{1}1)$  surfaces exhibit lower catalytic activity for  $\text{CH}_3\text{OH}$  formation, namely, both surfaces can provide more  $\text{CH}_x$  sources. More importantly, considering both  $\text{Co}(10\bar{1}0)$ -A and  $\text{Co}(10\bar{1}1)$  surfaces cover 63% of the total surface area exposed under the certain realistic conditions, which depend on the conditions, particle size, catalyst support, carbon deposition and many other factors, the contribution to the overall  $\text{CH}_x$  sources from  $\text{Co}(10\bar{1}0)$ -A and  $\text{Co}(10\bar{1}1)$  surfaces even surpasses that of other hexahedron Co surfaces. Therefore, the hexahedron



**Fig. 5.** The potential energy profile for the optimal paths of  $\text{CH}_x$  ( $x=1-3$ ) and  $\text{CH}_3\text{OH}$  formation together with the structures of initial states (ISS), transition states (TSs) and final states (FSs) on  $\text{Co}(1011)$  surface. See Fig. 2 for color coding. Bond lengths are in Å.

Co surfaces exhibit high catalytic selectivity for  $\text{CH}_x$  formation, and provide more  $\text{CH}_x$  sources to participate into the FTS. Further, the investigations about the effect of the hexahedron Co surface will provide the valuable information for developing more efficient and

stable Co catalysts with higher mass-specific reactivity for  $\text{CH}_x$  formation from syngas in FTS. In addition, starting from  $\text{CH}_x$  species, reducing methane selectivity is one of the main challenges with



Co-catalyzed FTS, which have not been considered in this study, our present studies only focus on CH<sub>x</sub> formation.

#### 4. Conclusion

We have used density functional theory calculations to investigate the formation of CH<sub>x</sub> species from syngas on the hexahedron Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces, which have the corresponding higher surface energy about 35% and 28% of the total surface area exposed, respectively. Our results show that CH<sub>x</sub> formation dominantly proceeds through H-assisted CO dissociation pathway on Co(10 $\bar{1}$ 0)-A surface, both CH<sub>2</sub> and CH<sub>3</sub> are the abundant surface CH<sub>x</sub> species rather than C and CH. However, CH<sub>x</sub> formation goes through CO direct dissociation pathway on Co(10 $\bar{1}$ 1) surface, both C and CH are the abundant surface CH<sub>x</sub> species. Co surface structure can affect the preferential formation pathway and the dominant existence form of CH<sub>x</sub> species. Moreover, Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces present the good catalytic performance for CH<sub>x</sub> formation rather than CH<sub>3</sub>OH formation, thus, considering both Co(10 $\bar{1}$ 0)-A and Co(10 $\bar{1}$ 1) surfaces cover 63% of the total surface area exposed, the hexahedron Co surface can provide more CH<sub>x</sub> sources to participate into FTS. In addition, the present studies only focus on exploring CH<sub>x</sub> formation in the chain growth of FTS, on the basis of the dominant existence form of CH<sub>x</sub> species, the chain growth of FTS is going on.

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at <http://dx.doi.org/10.1016/j.apcata.2016.07.007>.

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